

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: April 21, 1999

ANALYST: Andrea Wilkens

- I. COMMITTEE: D.C. Republican Committee Federal
Campaign Committee
(C00191288)
Roger Moffatt, Treasurer
600 Pennsylvania Ave., SE #300
Washington, D.C 20003
- II. RELEVANT STATUTE: 2 U.S.C. 434(a)(4)(A)(iii)
11 CFR 104.5(c)(1)(iii)

III. BACKGROUND:

Failure to Timely File the 1998 30 Day Post-General Report.

The D.C. Republican Committee Federal Campaign Committee ("the Committee") failed to timely file the 1998 30 Day Post-General Report of Receipts and Disbursements covering the period from October 1, 1998 to November 23, 1998.

The Committee was notified on December 29, 1997, September 30, 1998 and November 5, 1998 that the 1998 30 Day Post-General Report was due on December 3, 1998 (Attachment 2). A Non-Filer Notice for the 1998 30 Day Post-General Report was sent via mailgram to the Committee on January 6, 1999 (Attachment 3).

On January 12, 1999, the Reports Analysis Division ("RAD") analyst called the Committee and left a message for the treasurer on his answering machine. The analyst stated that the Commission had not yet received the 1998 30 Day Post-General Report and that it should be filed as quickly as possible to avoid any further legal action. The analyst also asked the treasurer to call her at his earliest convenience to discuss the matter (Attachment 4).

On January 19, 1999, the treasurer left a message on the analyst's voice mail acknowledging the non-filing of the 1998 30 Day Post-General Report and said that it would be filed as quickly as possible. He explained that the individual who assisted in the preparation of the reports was away on an extended business trip and that was what had caused the filing delay (Attachment 5).

A combined 1998 30 Day Post-General and 1998 Year End Report was filed on March 12, 1999 (Attachment 6).

COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

PAGE 2

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	# OF COVERAGE DATES	# OF PAGES	IMAGE LOCATION TYPE OF FILER

D C REPUBLICAN COMMITTEE FEDERAL CAMPAIGN COMMITTEE				ID AC00191200 PARTY QUALIFIED		
CONNECTED ORGANIZATION: NONE						
1997	APRIL QUARTERLY	-	-	1JAN97 - 31MAR97	8	97FEC/155/2315
	JULY QUARTERLY	-	-	1APR97 - 30JUN97	9	97FEC/214/0274
	MID-YEAR REPORT	27,031	12,508	1JAN97 - 30JUN97	13	97FEC/225/2347
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 - 30JUN97	3	97FEC/259/1361
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN97 - 30JUN97	4	98FEC/258/0168
	YEAR-END	23,982	38,027	1JUL97 - 31DEC97	11	98FEC/274/3868
1998	APRIL QUARTERLY	15,775	13,865	1JAN98 - 31MAR98	13	98FEC/295/0775
	APRIL QUARTERLY - AMENDMENT	15,775	13,865	1JAN98 - 31MAR98	15	98FEC/326/3291
	REQUEST FOR ADDITIONAL INFORMATION			1JAN98 - 31MAR98	3	98FEC/328/2416
	JULY QUARTERLY	17,425	9,908	1APR98 - 30JUN98	28	98FEC/328/3263
	OCTOBER QUARTERLY	12,245	14,806	1JUL98 - 30SEP98	23	98FEC/364/2542
	NOTICE OF FAILURE TO FILE			1OCT98 - 22NOV98	2	98FEC/416/0638
	YEAR-END	21,514	32,972	1OCT98 - 31DEC98	10	98FEC/437/7029
	NOTICE OF FAILURE TO FILE			24NOV98 - 31DEC98	2	98FEC/436/1060
1999	MISCELLANEOUS NOTICE FROM FEC			15JAN99	2	98FEC/437/3030
TOTAL		117,892	0 114,256	0	146	TOTAL PAGES

All reports are reviewed.

Ending cash-on-hand as of 12/31/98: \$5,794

Outstanding debts owed to/by the committee as of 12/31/98: \$0

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 29, 1997

WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

I. Semi-annual Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

ELECTRONIC FILING

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

YEAR-END

1998 FILING SCHEDULE

I. QUARTERLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

II. MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 30, 1998

I. ALL MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

II. QUARTERLY FILERS THAT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

III. QUARTERLY FILERS THAT DO NOT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Post-General	10/01/98 - 11/23/98	12/03/98	12/03/98

WHO MUST FILE

Party Committees and PACs must follow the above charts in order to determine whether they must file a report 12 days before the general election on November 3 (the Pre-General Report). All Party Committees and PACs, regardless of financial activity, must file a report 30 days after the general election (the Post-General Election Report).

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any Party Committee or PAC that makes independent expenditures aggregating \$1,000 or more during the period beginning October 15 and ending November 1 must report them within 24 hours.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

GENERAL ELECTION
REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

November 5, 1998

***REMINDER

REMINDER

REMINDER***

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Post-General Election Report. Reports sent by registered or certified mail must be postmarked by the mailing date (December 3, 1998); otherwise, they must be received by the filing date (December 3, 1998). FEC Form 3X is enclosed.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

**WESTERN
UNION**

WESTERN UNION COMMERCIAL SERVICES

CONFIRMATION OF MAILGRAM

TO: ROGER MOFFATT

D C REPUBLICAN CMTE FED CAMPAIGN CMTE
600 PENNSYLVANIA AVE., SE #300
WASHINGTON DC 20003

FEDERAL ELECTION COMMISSION
SHAWN WERTH
999 E ST. NW.
WASHINGTON DC 204630001

JANUARY 6, 1999

IDENTIFICATION NUMBER: C00191288

REFERENCE: 30 DAY POST-GENERAL (10/01/1998 - 11/23/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT. PLEASE DISREGARD THE DECEMBER 28, 1998 MAILGRAM REGARDING THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT ANDREA S. WILKENS ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

MEMORANDUM OF TELECON

DATE: January 12, 1999

ANALYST: Andrea Wilkens

COMMITTEE: D.C. Republican Committee Federal
Campaign Committee

The Reports Analysis Division ("RAD") analyst called the Committee and left a message for the treasurer on his answering machine. The analyst stated that the Commission had not yet received the 1998 30 Day Post-General Report and that it should be filed as quickly as possible to avoid any further legal action. The analyst also asked the treasurer to call her at his earliest convenience to discuss the matter.

MEMORANDUM OF TELECON

DATE: January 19, 1999
ANALYST: Andrea Wilkens
COMMITTEE: D.C. Republican Committee Federal
Campaign Committee

Mr. Moffatt, treasurer of the Committee, left a message on the analyst's voice mail acknowledging the non-filing of the 1998 30 Day Post-General Report and said that it would be filed as quickly as possible. He explained that the individual who assisted in the preparation of the reports was away on an extended business trip and that was what had caused the filing delay.

REPORT OF RECEIPTS AND DISBURSEMENTS

Attachment 6

For Other Than An Authorized Committee
(Summary Page)RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

MAR 12 4 00 PM '99

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
DISTRICT OF COLUMBIA REPUBLICAN COMMITTEE

ADDRESS (number and street) ☐ Check if different than previously reported
600 Pennsylvania Ave SE #E 300

CITY, STATE and ZIP CODE
Washington, DC 20003

2. FEC IDENTIFICATION NUMBER.

C00191288

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☒ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20☐ June 20☐ October 20☐ March 20☐ July 20☐ November 20☐ April 20☐ August 20☐ December 20☐ May 20☐ September 20☐ January 31☐ 12-Day Pre-Election Report for the _____

(Type of Election)

election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election

on _____ in the State of _____

(b) Is this Report an Amendment?

☐ YES☒ NO

SUMMARY

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>October 1, 1998 through December 31, 1998</u>			
6. (a) Cash on Hand January 1, 19 _____			\$ 10476.68
(b) Cash on Hand at Beginning of Reporting Period		\$ 17253.23	
(c) Total Receipts (from Line 19)		\$ 21514.00	\$ 66960.40
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ 38767.23	\$ 77437.08
7. Total Disbursements (from Line 30)		\$ 32972.90	\$ 71242.05
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ 5794.33	\$ 5794.33
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Roger W. Moffatt

Signature of Treasurer
Roger W. Moffatt

Date
3/12/99

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20003
Toll Free 800-424-9530
Local 202-694-1100

NOTE: Submission of false, erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 2/93)